

# INTEGRATED SAFEGUARDS DATA SHEET APPRAISAL STAGE

Report No.: ISDSA367

Date ISDS Prepared/Updated: 28-Mar-2012

## I. BASIC INFORMATION

### 1. Basic Project Data

<b>Country:</b>	Russian Federation	<b>Project ID:</b>	P120434
<b>Project Name:</b>	North Caucasus Federal Okrug Local Initiatives Support Project (P120434)		
<b>Task Team Leader:</b>	Peter Ivanov Pojarski		
<b>Estimated Appraisal Date:</b>	30-Mar-2012	<b>Estimated Board Date:</b>	31-Jul-2012
<b>Managing Unit:</b>	ECSHD	<b>Lending Instrument:</b>	Specific Investment Loan
<b>Sector:</b>	Other social services (100%)		
<b>Theme:</b>	Other social protection and risk management (67%), Participation and civic engagement (33%)		
<b>Financing (In USD Million)</b>			
<b>Financing Source</b>			<b>Amount</b>
Borrower			35.00
International Bank for Reconstruction and Development			20.00
Total			55.00
<b>Environmental Category:</b>	B - Partial Assessment		
<b>Is this a Repeater project?</b>	No		

### 2. Project Objectives

The proposed Project Development Objective is to increase citizen and community involvement in decision-making, oversight, and monitoring of service delivery, for improved provision of social and communal services and social infrastructure in target regions.

### 3. Project Description

The proposed project development objective is to increase citizen and community involvement in decision-making, oversight, and monitoring of service delivery, for improved provision of social and communal services and social infrastructure in target regions.

The project development objective would be achieved through (1) Technical Assistance and Capacity Building for developing and establishing mechanisms to promote citizen and community participation and social accountability in the processes that guide the delivery of social and communal services; and (2) Financial resources for community micro-projects in three participating regions of the North Caucasus Federal Okrug (NCFO), transferred from the federal budget to the participating regions on co-financing basis. These resources would be granted by the regional authorities to the eligible settlements to finance small scale (up to 100,000 USD) demand driven micro-projects. Funds would be awarded for the micro-projects according the procedures and criteria adopted in each of the three participating regions.

### 4. Project location and salient physical characteristics relevant to the safeguard analysis (if known)

North Caucasus Federal Okrug (NCFO), Russian Federation

### 5. Environmental and Social Safeguards Specialists

Alexei Slenzak (ECSS3)

Roxanne Hakim (ECSS4)

6. Safeguard Policies	Triggered?	Explanation (Optional)
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Environmental Assessment OP/BP 4.01	Yes	EMP Checklists (for building rehabilitation/small scale construction and for road rehabilitation) will be used for micro-projects to which they are applicable (the regional Project Operational Manuals will each include a "positive list" of these micro-project types). For any other types of micro-projects involving civil works, which are not covered by the EMP Checklists, de novo site-specific EMPs will need to be prepared by the applicants. For such cases the WB will assist the borrower with preparing the TOR for the EMP and will review and approve it prior to approval of the micro-project. The PIU will be primarily responsible for ensuring that a properly completed EMP Checklist or de novo EMP is submitted prior to release of any funds for a given micro-project and for ensuring that the provisions of these EMPs are implemented, while the WB team would confirm this through spot-checking during regular supervision missions. The Operational Manual should include the EMP Checklists and procedures for applying them, as well as set out procedures for preparation and review of any de novo site-specific EMPs.
Natural Habitats OP/BP 4.04	No	
Forests OP/BP 4.36	No	
Pest Management OP 4.09	No	
Physical Cultural Resources OP/BP 4.11	No	
Indigenous Peoples OP/BP 4.10	No	
Involuntary Resettlement OP/BP 4.12	No	
Safety of Dams OP/BP 4.37	No	
Projects on International Waterways OP/BP 7.50	No	
Projects in Disputed Areas OP/BP 7.60	No	

## II. Key Safeguard Policy Issues and Their Management

### A. Summary of Key Safeguard Issues

<p><b>1. Describe any safeguard issues and impacts associated with the proposed project. Identify and describe any potential large scale, significant and/or irreversible impacts:</b></p> <p>The proposed Project would finance technical assistance and small-scale infrastructure rehabilitation/improvements. Micro-projects will be aimed at developing local social, communal and economic infrastructure (local roads and bridges, power networks, water supply, gas distribution networks etc.); improving access to and quality of the social services available (renovation or construction of community centers, local cultural and sports facilities, etc.); restoration and/or development of natural resources, environmental protection (rehabilitation of sewage and waste-water treatment facilities, rehabilitation of disturbed/eroded soil, waste management for communities etc.). The project would not finance any large civil works, construction, land acquisition or any related activities. Therefore the project financed by the IBRD loan is not expected to have any potential large scale, significant and/or irreversible impacts. The proposed environmental safeguard Category is B.</p>
<p><b>2. Describe any potential indirect and/or long term impacts due to anticipated future activities in the project area:</b></p> <p>No indirect or long-term impacts expected.</p>
<p><b>3. Describe any project alternatives (if relevant) considered to help avoid or minimize adverse impacts.</b></p> <p>Micro-projects would finance small civil works associated mainly with rehabilitation/rehabilitation of local communal infrastructure, roads other socially important facilities. Expected environmental impacts will be small scale and site-specific.</p>
<p><b>4. Describe measures taken by the borrower to address safeguard policy issues. Provide an assessment of borrower capacity to plan and implement the measures described.</b></p> <p>Ministry of Regional Development will hire a PIU to implement the project. The PIU will be responsible for safeguards compliance. The PIU will have a designated environmental safeguards specialist (either a PIU staff or external consultant) who will supervise compliance with safeguards, including preparation of EMP Checklists (for rehabilitation/reconstruction micro-projects), preparation of EMPs (for micro-projects involving new construction), and overall monitoring and supervision of implementation of micro-projects from environmental and social safeguards perspective. In case micro-projects involve new construction, an environmental assessment (EA/OVOS) will be undertaken by the borrower. The EA/OVOS will be reviewed and cleared by environmental authorities. Based on the EA/OVOS, the EMP will be drafted and all necessary approvals from local environmental and health authorities obtained in accordance with requirements of legislation prior to construction start.</p>
<p><b>5. Identify the key stakeholders and describe the mechanisms for consultation and disclosure on safeguard policies, with an emphasis on potentially affected people.</b></p> <p>Stakeholders would be federal, regional and local authorities and beneficiaries.</p> <p>Primary and direct beneficiaries would include the population of the participating NCFO regions of Stavropol Krai, Karachay-Cherkessia and North Ossetia-Alania rural and urban communities who participate in setting local priorities and would see improvements in services over time in the form of new, enhanced or rehabilitated social infrastructure.</p> <p>Project stakeholders would also be the settlement administrations, whose ability to work on the promotion of community participation in decision-making and oversight of service delivery would be strengthened. District (rayon) and Regional administrations as well as Federal government would establish Community Driven Development (CDD) approaches/mechanisms for regional funding of local social services,</p>

delivery and social infrastructure and ultimately would make decentralization reforms effective.

The Federal government is a main counterpart in project design and is consulted on a regular basis. Regional and local government structures are consulted as part of project preparation, and some have also participated in piloting the LISP approach (Stavropol). Local population (direct beneficiaries) are consulted as part of project selection and preparation, as community participation is a major part of the project approach.

Prior to Appraisal the general EMP Checklists (in Russian) were posted on a LISP website and were available in hard copy at public places (e. g. libraries) in each of the three regions covered by the project, with announcements in local media to inform people where they can be found and invite any comments. After that in December 2011 public consultation meetings were organized in each region with appropriate stakeholder representatives invited.

In order to ensure that views and concerns of project stakeholders are heard and addressed, site-specific EMP Checklists (for rehabilitation/reconstruction micro-projects) and EMPs (for micro-projects involving new construction) will be disclosed and consultations with local residents organize\* prior to construction.

#### B. Disclosure Requirements Date

Environmental Assessment/Audit/Management Plan/Other	
Was the document disclosed prior to appraisal?	Yes
Date of receipt by the Bank	06-Dec-2011
Date of "in-country" disclosure	10-Jan-2012
Date of submission to InfoShop	06-Mar-2012
For category A projects, date of distributing the Executive Summary of the EA to the Executive Directors	
<b>If the project triggers the Pest Management and/or Physical Cultural Resources policies, the respective issues are to be addressed and disclosed as part of the Environmental Assessment/Audit/or EMP.</b>	
<b>If in-country disclosure of any of the above documents is not expected, please explain why:</b>	

#### C. Compliance Monitoring Indicators at the Corporate Level (to be filled in when the ISDS is finalized by the project decision meeting)

OP/BP/GP 4.01 - Environment Assessment			
Are the cost and the accountabilities for the EMP incorporated in the credit/loan?	Yes [ <input checked="" type="checkbox"/> ]	No [ <input type="checkbox"/> ]	NA [ <input type="checkbox"/> ]
The World Bank Policy on Disclosure of Information			
Have relevant safeguard policies documents been sent to the World Bank's Infoshop?	Yes [ <input checked="" type="checkbox"/> ]	No [ <input type="checkbox"/> ]	NA [ <input type="checkbox"/> ]
Have relevant documents been disclosed in-country in a public place in a form and language that are understandable and accessible to project-affected groups and local NGOs?	Yes [ <input checked="" type="checkbox"/> ]	No [ <input type="checkbox"/> ]	NA [ <input type="checkbox"/> ]
All Safeguard Policies			
Have satisfactory calendar, budget and clear institutional responsibilities been prepared for the implementation of measures related to safeguard policies?	Yes [ <input checked="" type="checkbox"/> ]	No [ <input type="checkbox"/> ]	NA [ <input type="checkbox"/> ]
Have costs related to safeguard policy measures been included in the project cost?	Yes [ <input checked="" type="checkbox"/> ]	No [ <input type="checkbox"/> ]	NA [ <input type="checkbox"/> ]
Does the Monitoring and Evaluation system of the project include the monitoring of safeguard impacts and measures related to safeguard policies?	Yes [ <input checked="" type="checkbox"/> ]	No [ <input type="checkbox"/> ]	NA [ <input type="checkbox"/> ]
Have satisfactory implementation arrangements been agreed with the borrower and the same been adequately reflected in the project legal documents?	Yes [ <input checked="" type="checkbox"/> ]	No [ <input type="checkbox"/> ]	NA [ <input type="checkbox"/> ]

### III. APPROVALS

Task Team Leader:	Peter Ivanov Pojarski	
<b>Approved By:</b>		
Regional Safeguards Coordinator:	Name: Gerard A. Byam (RSA)	Date: 28-Mar-2012
Sector Manager:	Name: Kathy A. Lindert (SM)	Date: 29-Mar-2012